SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addre	ess of Reporting Perso	n*	2. Issuer Name and Ticker or Trading Symbol <u>CUTERA INC</u> [CUTR]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
-	Last) (First) (Middle) C/O CUTERA, INC. 240 BAYSHORE BLVD		3. Date of Earliest Transaction (Month/Day/Year) 03/06/2017		Officer (give title below) EVP and CF	Other (specify below)		
(Street) BRISBANE CA (City) (State)		94005 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	e, Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
COMMON	03/06/2017		М		15,562	A	\$8.72	139,343	D			
COMMON	03/06/2017		М		3,791	A	\$6.88	143,134	D			
COMMON	03/06/2017		D		19,353	D	\$20.36 ⁽¹⁾	123,781	D			
COMMON	03/07/2017		М		28,709	A	\$6.88	152,490	D			
COMMON	03/07/2017		D		28,709	D	\$20.28(2)	123,781	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(cigi, paro, varia, variano, opriorio, convertible securites)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I	umber ivative urities juired or oosed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Common Stock Option (Right to Buy)	\$8.72	03/06/2017		М			15,562	06/01/2014	05/27/2018	Commmon Stock	15,562	\$0	0	D	
Employee Common Stock Option (Right to Buy)	\$6.88	03/06/2017		М			3,791	06/01/2013	07/27/2019	Commmon Stock	32,500	\$0	28,709	D	
Employee Common Stock Option (Right to Buy)	\$6.88	03/07/2017		М			28,709	06/01/2015	07/27/2019	Commmon Stock	32,500	\$0	0	D	

Explanation of Responses:

1. Stock sold in open market transactions at prices that ranged from \$20.35 to \$20.50, with \$20.36 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

2. Stock sold in open market transactions at prices that ranged from \$20.20 to \$20.45, with \$20.28 being the average. The reporting person undertakes to provide to Cutera, Inc., any security holder of Cutera, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

<u>/s/ Ronald J. Santilli</u>

** Signature of Reporting Person Date

03/08/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.